

Scope of Advice and other information

Nominated Representative

Name of Financial Adviser: Lawrence Diack

Physical Address: 3 Waimuri Close, Te Atatu Peninsula , Auckland

Phone Number: 027 665 7283

Email Address: Lawrence@savingkiwis.co.nz

I am a Financial Adviser operating under Booster's financial advice provider Licence. I can give you advice limited to the following products issued by Booster Investment Management Limited, a subsidiary of Booster:

Booster KiwiSaver Scheme

Booster Super Scheme

Booster Investment Products

Booster Workplace Savings, excluding QROPS

And servicing clients on the Fidelity Super Plan No3.

Financial Advice Provider licence

Booster Financial Services Limited (**Booster**) has been granted a transitional Financial Advice Provider licence under the FSP number FSP28287. Booster Financial Services Limited (FSP28287 trading as Booster) is currently licensed to operate its financial advice services through its transitional financial advice provider licence. Effective from 1 March 2023, this transitional licence will be replaced with Booster's class 3 financial advice provider licence. Both licenses are issued by the Financial Markets Authority.

Financial Advisers are engaged by Booster to provide financial advice. Booster is responsible for the financial adviser services and disclosure obligations of these Nominated Representatives.

My Scope of advice

My scope of advice can include but not limited to providing information on the various products listed above and your personal situation.

I am providing personalised financial advice including retirement planning, investment and KiwiSaver based on your current situation that is relevant to you reaching your goals.

Commission's payable

Booster Investment Management Limited, the Manager and Issuer of the Booster KiwiSaver Scheme and the Booster SuperScheme, earns fees as the Manager of those products.

Booster Nominated Representatives and Financial Advisers that are not employed by Booster

As I am not employed by Booster, I am entitled to all applicable fees arising from the sale of the financial products named in this Disclosure Statement. This includes the following fees:

- > Booster KiwiSaver Scheme:
 - A one off \$30 per new member paid at the 12-month anniversary of the member joining the Scheme.
 - Adviser service trail of up to 0.5% p.a. of your total funds invested in the relevant financial product calculated daily and paid monthly by Booster Investment Management Limited. (This could be less depending on the product).
- > Booster SuperScheme: Adviser service trail of up to 0.30% p.a. of your total funds invested paid by Booster Investment Management Limited.
- > Booster Investment Products: Adviser service trail of up to 1.05% p.a. of your total funds invested paid by Booster Investment Management Limited.
- > I do receive trail on other approved products, which will be disclosed at the time of Statement of Advice.

Conflicts of interests

Booster has an internal policy for managing any potential conflicts of interest that could occur and is reviewed and adjusted on an annual basis. Along with the internal policy a quarterly report is completed on all Booster Nominated Representatives and Financial Advisers and will include any Conflicts of Interest. If any arise, the Scope of Advice will be updated to reflect this.

Reliability History

A reliability event is something that may materially influence your decision to seek advice. An example of this would include any legal proceedings against a Financial Adviser or Booster as a company.

There has been no reliability event, nor have I or Booster been publicly disciplined as defined under Part 1 Section 3 and Section 4 of Financial Markets Conduct (Regulated Financial Advice Disclosure) Amendment Regulations 2020 (as amended from time to time).

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please let me know so that I can try to fix the problem.

You can also contact Booster by calling the Complaints Officer on:

Telephone number: 0800 336 338

Email address: clientservices@booster.co.nz

Complaints are recorded on a complaint's register. Booster endeavours to resolve complaints within 14 days. If a complaint remains unresolved after a 60-day period, the complainant has the option of referring the complaint to the external dispute resolution scheme of which Booster is a member of.

External Dispute Resolution scheme

Booster is a member of the Financial Dispute Resolution scheme, which is an approved dispute resolution scheme for the purposes of the Financial Service Providers (Registration and Dispute Resolution) Act 2008. Financial Dispute Resolution scheme won't charge you a fee to investigate or resolve your complaint.

The contact details of the Financial Dispute Resolution scheme are as follows:

- > Physical Address: Level 4, 142 Lambton Quay, Wellington 6011
- > Mailing Address: Freepost 231075, P.O. Box 2272, Wellington 6140
- > Email: enquiries@fdrs.org.nz
- > Website: www.fdrs.org.nz
- > Telephone: 0508 337 337

You can also visit the Booster website for information on the complaints process at www.booster.co.nz/contact-us/how-to-complain

My Duties

When giving you financial advice, I am bound to:

- give priority to your interests by taking all reasonable steps to make sure our advice isn't materially influenced by my own interests, or the interest of any other party associated with giving you advice; and
- exercise care, diligence, and skill in providing you with advice; and
- meet the standards of competence, knowledge, and skill set out in the Code of Professional Conduct for Financial Advice Services; and
- meet the standards of ethical behaviour, conduct, and client care set out in the Code of Professional Conduct for Financial Advice Services.
- Ensure you understand the scope of advice given

This information above is also available on Booster's website at [Financial Advice Provider licence \(booster.co.nz\)](http://www.booster.co.nz/FinancialAdviceProviderLicence)